



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/043,841 : Confirmation No.: 3661
Applicant : Kevin Rodney Lassila, et al.
Filed : 9 January 2002
For : **MALIC ACID DIESTER SURFACTANTS**

Art Unit : 1714
Examiner : Cain, Edward J.

Docket No. : 05945D USA
Customer No. : 23543

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

| | |
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| CERTIFICATE OF MAILING | |
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| ON | 18 January 2005 |
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| | <i>Rita Linden</i> |
| | Signature of person mailing paper |

Sir:

TERMINAL DISCLAIMER

Air Products and Chemicals, Inc., a corporation of Delaware, resident in the county of Lehigh and Commonwealth of Pennsylvania, represents that it is the assignee of the entire ownership of application Serial No. 10/043,841, filed 9 January 2002, entitled "**MALIC ACID DIESTER SURFACTANTS**," the assignment having been recorded at Reel 010348, Frame 0938. Air Products and Chemicals, Inc., disclaims the terminal part of the statutory term of any patent granted on the above-identified application which would extend beyond the expiration date of either the earlier of the full statutory term defined in 35 U.S.C. Sections 154 to 156 or of the term as presently shortened by any terminal disclaimer of United States Patent No. 6,369,146 B1.

Air Products and Chemicals, Inc., agrees that any patent granted on the above-identified application shall be enforceable only for and during such period that such patent is commonly owned with United States Patent No. 6,369,146 B1, this agreement to run with any patent granted on the above-identified application and to be binding upon the grantee, its successors and assigns.

The documentary evidence of a chain of title from the original owner to Air Products and Chemicals, Inc. has been reviewed and Air Products and Chemicals, Inc. certifies that, to the best of its knowledge and belief, full ownership of title is in Air Products and Chemicals, Inc.

Appl. No. 10/043,841

A Fee Transmittal for payment of the fee as set forth in 37 CFR 1.20(d) is enclosed.

I declare that all statements made in this Terminal Disclaimer of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

AIR PRODUCTS AND CHEMICALS, INC.



Michael Leach
Attorney/Agent of Record
Registration No. 27,349
7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8519

Date:

18 Jan 2005



Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$220.00

Complete if Known

| | |
|----------------------|------------------------------|
| Application Number | 10/043,841 |
| Filing Date | 9 January 2002 |
| First Named Inventor | Kevin Rodney Lassila, et al. |
| Examiner Name | Cain, Edward J. |
| Art Unit | 1714 |
| Attorney Docket No. | 05945D USA |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 01-0493 Deposit Account Name: Air Products and Chemical

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES | | SEARCH FEES | | EXAMINATION FEES | | Fees Paid (\$) |
|------------------|-------------|-----------------------|-------------|-----------------------|------------------|-----------------------|----------------|
| | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | Fee (\$) | Small Entity Fee (\$) | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEES

| Fee Description | Fee (\$) | Small Entity Fee (\$) |
|--|---------------------|-----------------------|
| Each claim over 20 (including Reissues) | 50 | 25 |
| Each independent claim over 3 (including Reissues) | 200 | 100 |
| Multiple dependent claims | 360 | 180 |
| Total Claims | Extra Claims | Fee (\$) |
| - 20 or HP = _____ x _____ = _____ | | |
| HP = highest number of total claims paid for, if greater than 20. | | |
| Indep. Claims | Extra Claims | Fee (\$) |
| - 3 or HP = _____ x _____ = _____ | | |
| HP = highest number of independent claims paid for, if greater than 3. | | |

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets _____ Extra Sheets _____ Number of each additional 50 or fraction thereof _____ Fee (\$)
_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____ Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Terminal Disclaimer

Fees Paid (\$)
\$110.00

SUBMITTED BY

| | | | |
|-------------------|---------------|--|------------------------|
| Signature | | Registration No. (Attorney/Agent) 27,349 | Telephone 610-481-8519 |
| Name (Print/Type) | Michael Leach | | Date 18 January 2005 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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